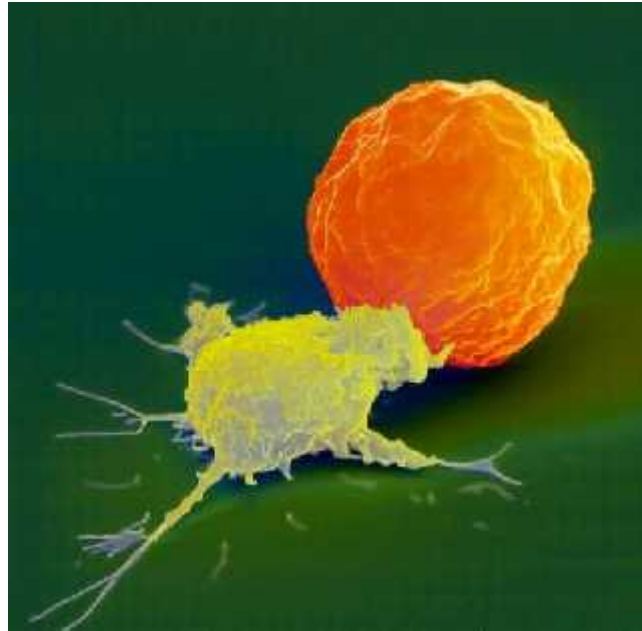


## Rare immune cell types



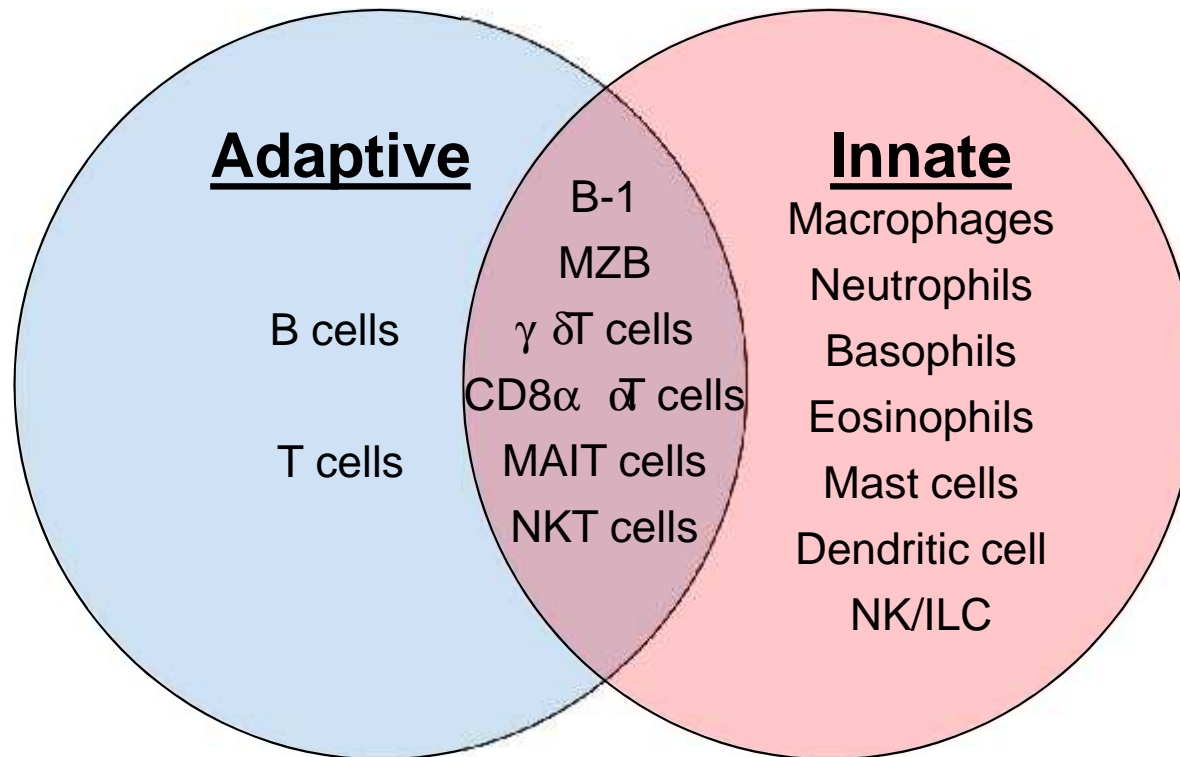
Mihalis Verykokakis, PhD  
Stavros Niarchos Foundation Investigator  
BSRC “Alexander Fleming”

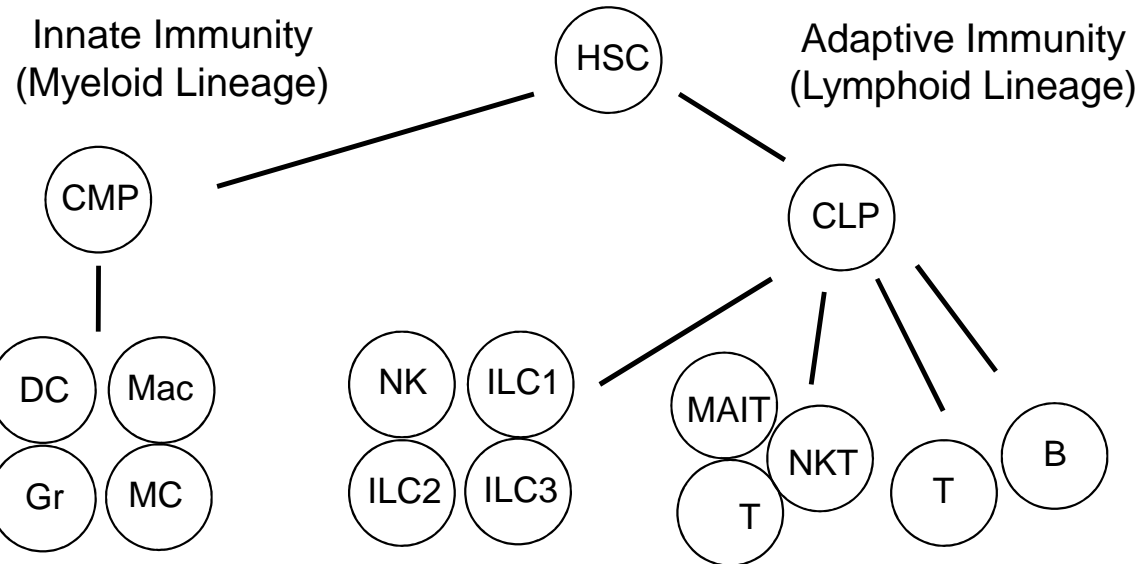
2<sup>nd</sup> Immunology School for Clinicians  
Heraklion, Crete  
Nov 1<sup>st</sup>, 2019



# Immune system

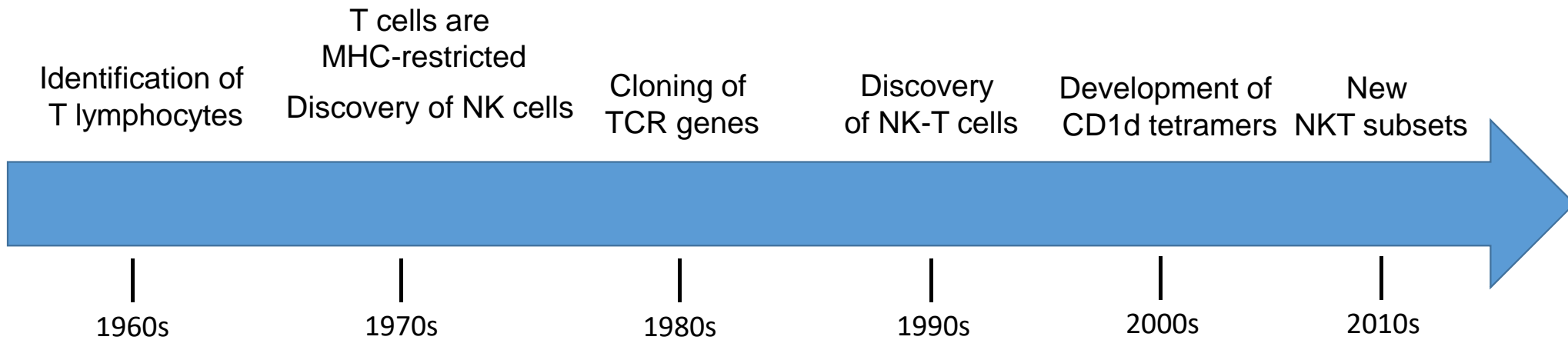
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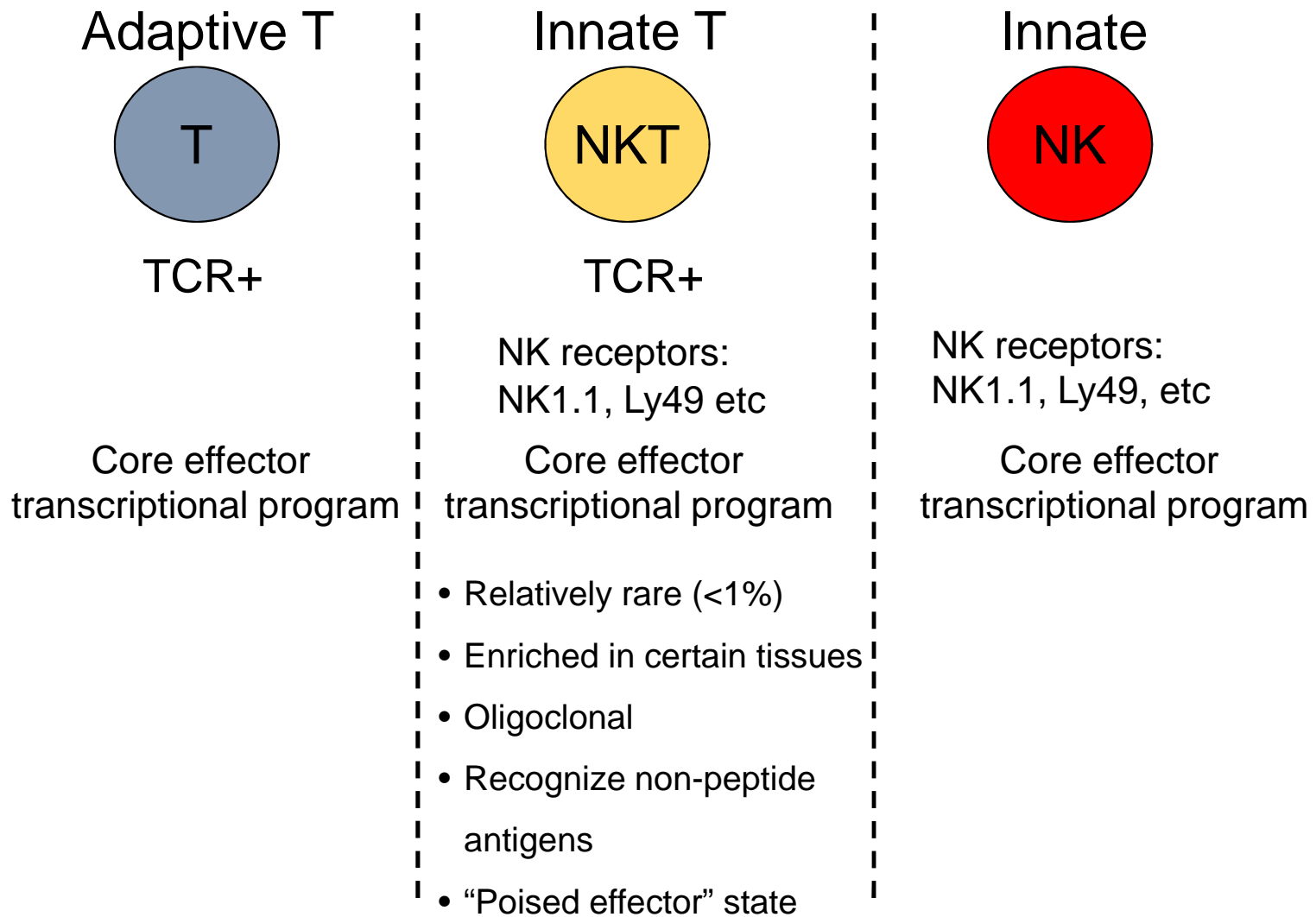




| Property    | Innate cells       | Innate Lymphocytes          | Innate Lymphocytes              | Adaptive Lymphocytes |
|-------------|--------------------|-----------------------------|---------------------------------|----------------------|
| Receptors   | Germline encoded   | Germline encoded            | Rearranged and germline encoded | Rearranged           |
| Recognition | Molecular patterns | Molecular patterns<br>MHC-I | Molecular details               | Molecular details    |
| Action time | Immediate          | Immediate                   | Immediate                       | Delayed              |
| Memory      | No                 | Yes?                        | No                              | Yes                  |

## Adaptive and Innate lymphocytes: 50+ years in the making





## Innate-like T cells: Classification

### **Natural Killer T cells (NKT):**

- Type I or invariant NKT
  - Invariant V $\alpha$ 14-J $\alpha$ 18 TCR $\alpha$  (human V $\alpha$ 24-J $\alpha$ 18) paired with limited TCR $\beta$  diversity (V $\beta$ 2, 7, 8.1-8.2, human V $\beta$ 11)
- Type II diverse NKT cells-Diverse TCRs
- Lipid reactive (ceramides, glycerols, sulfatides)
- Restricted by non-polymorphic CD1d molecules (MHC-I like)

### **Mucosal Associated Innate T cells (MAIT):**

- Invariant TCRs (V $\alpha$ 19-J $\alpha$ 33 in mice, V $\alpha$ 7.2-J $\alpha$ 33 in humans)
- Abundant in humans
- Vitamin metabolites reactive
- MR1-restricted

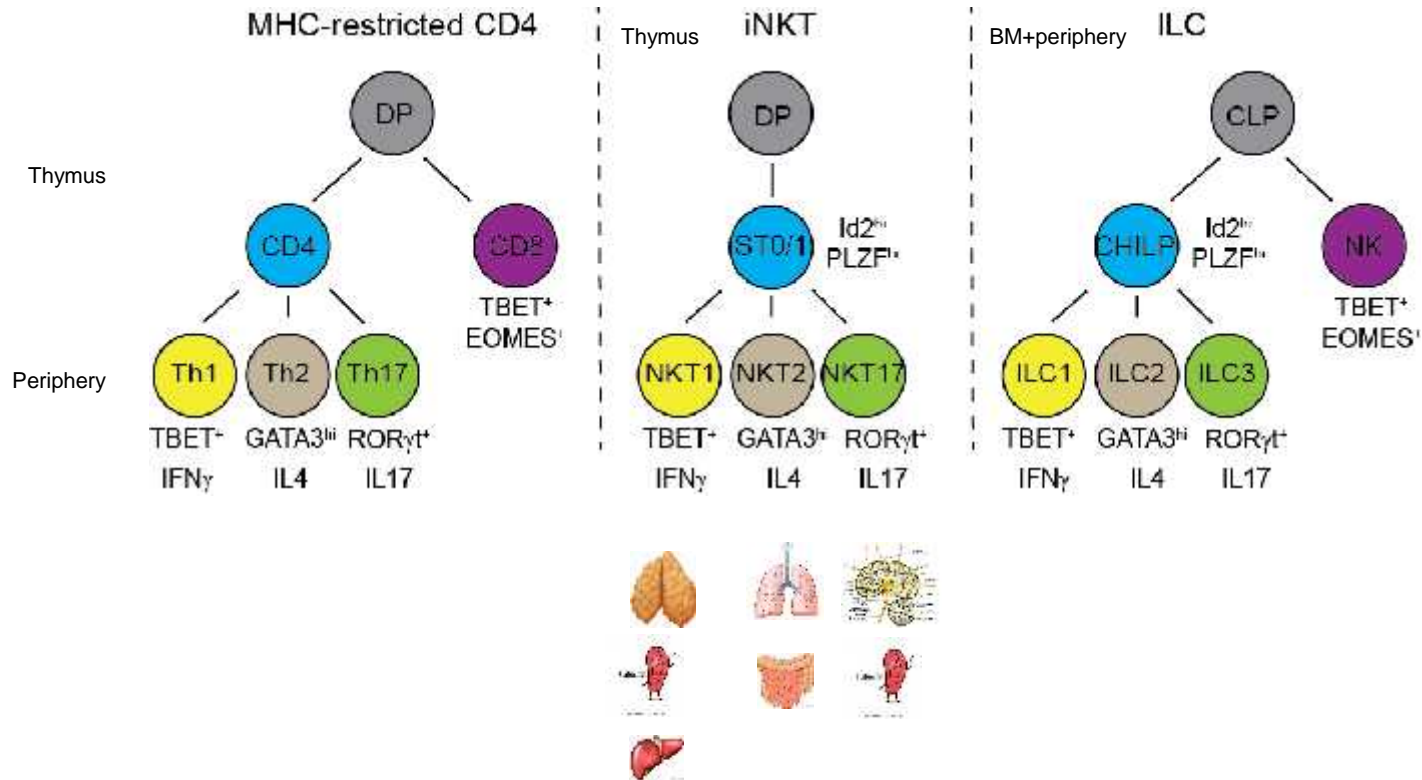
### **CD8 $\alpha\alpha$ intraepithelial T cells (CD8 $\alpha\alpha$ IEL):**

- Diverse  $\alpha$   $\beta$  or  $\gamma$   $\delta$ TCRs
- Unknown TCR reactivity
- ?-restricted ( $\beta$ 2M is required)
- Intestine residents

### **$\gamma\delta$ T cells:**

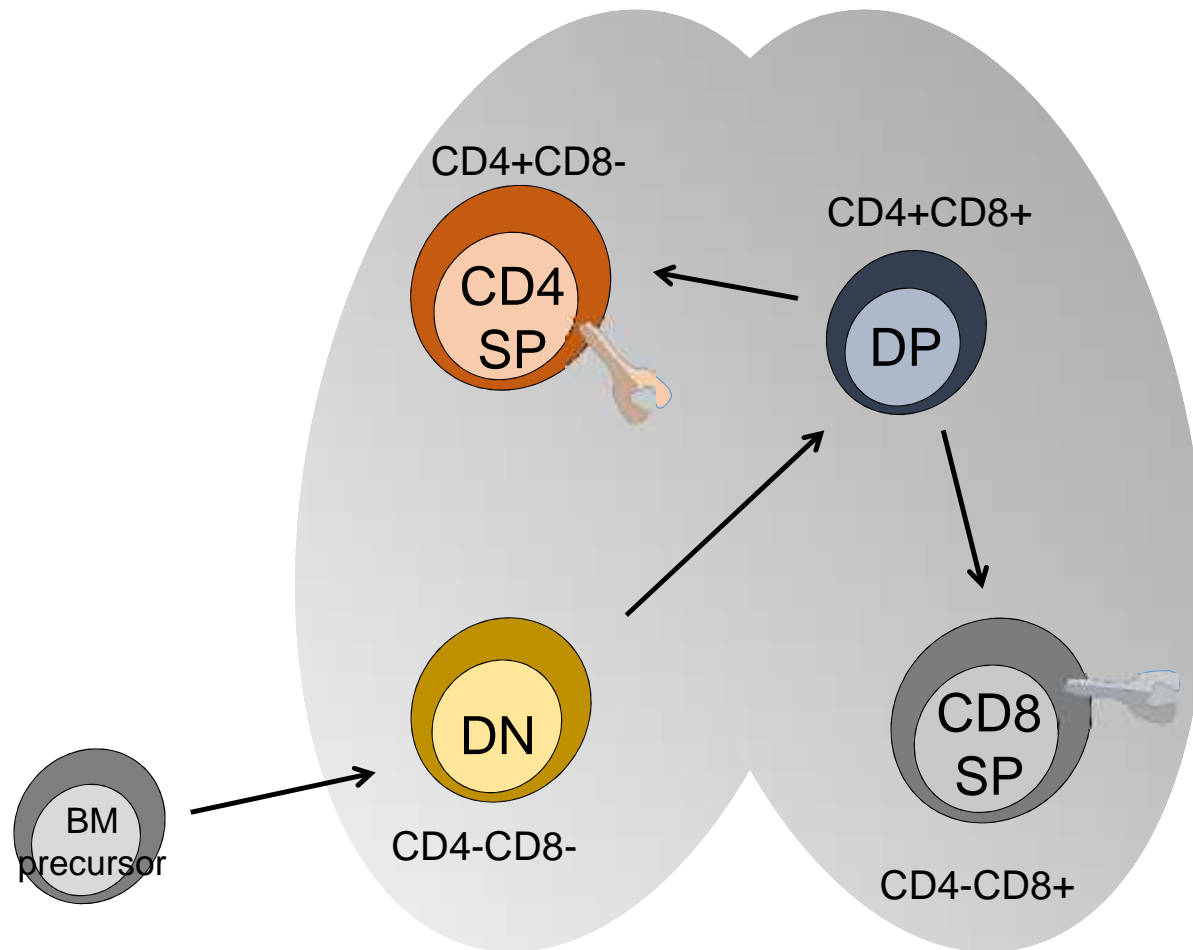
- Multiple invariant TCR
- Not CD1d-restricted
- Poorly defined reactivities

## iNKT cells: the Usual Subsets

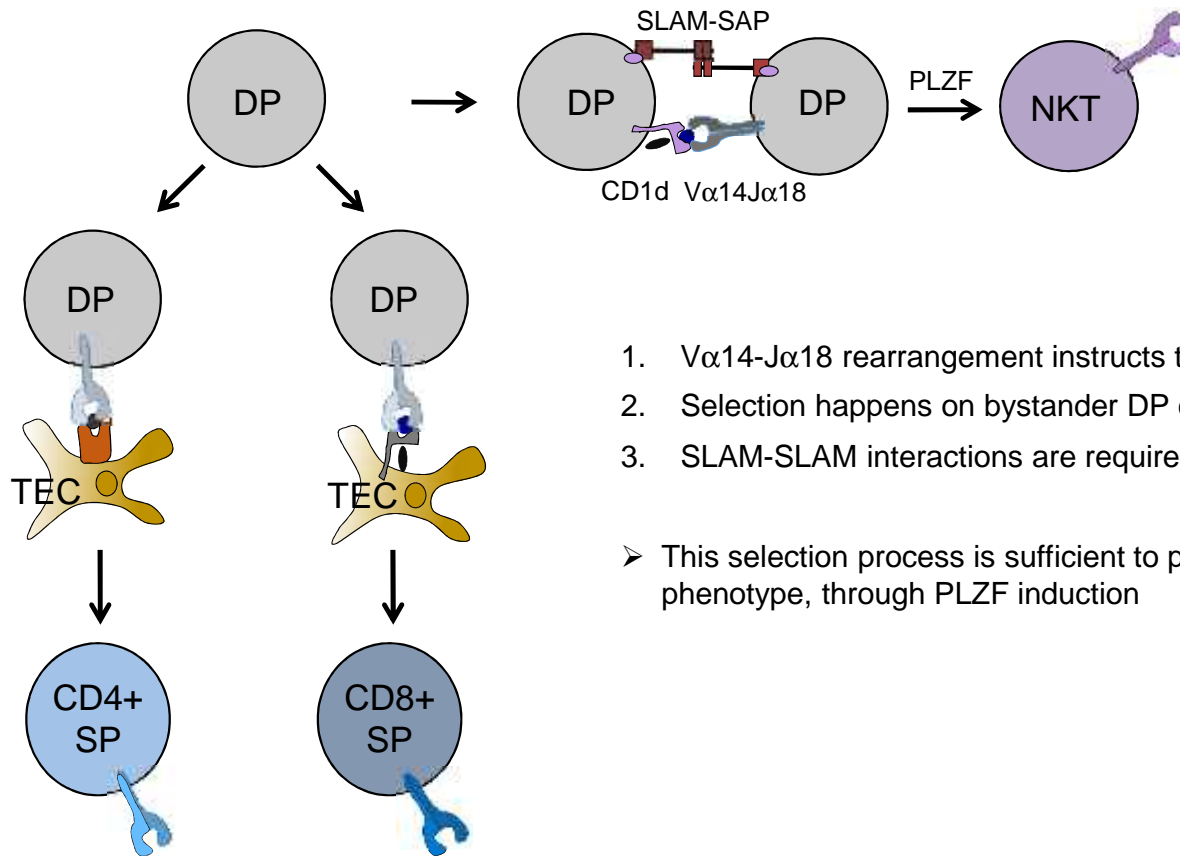




# T cell lineage development happens in the thymus

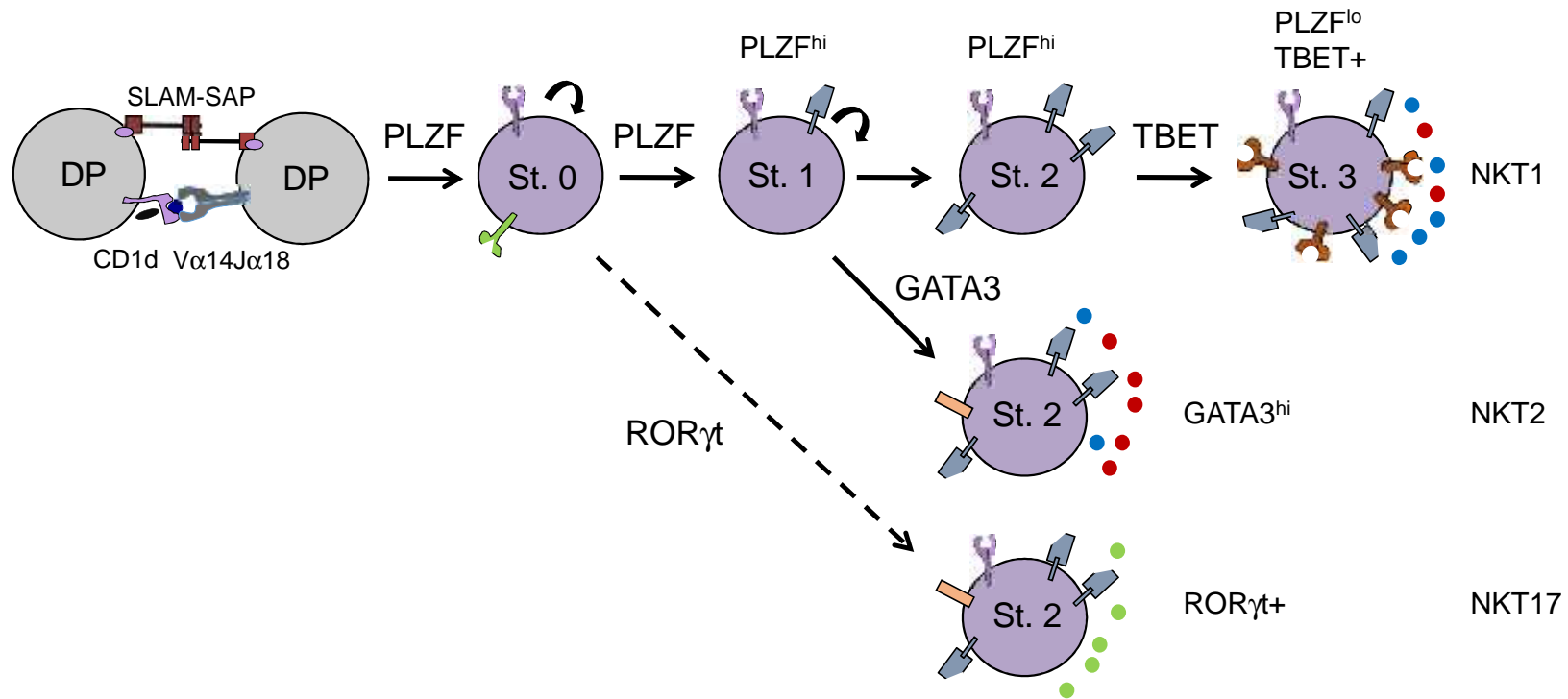


## NKT cells: Distinct Thymic Selection

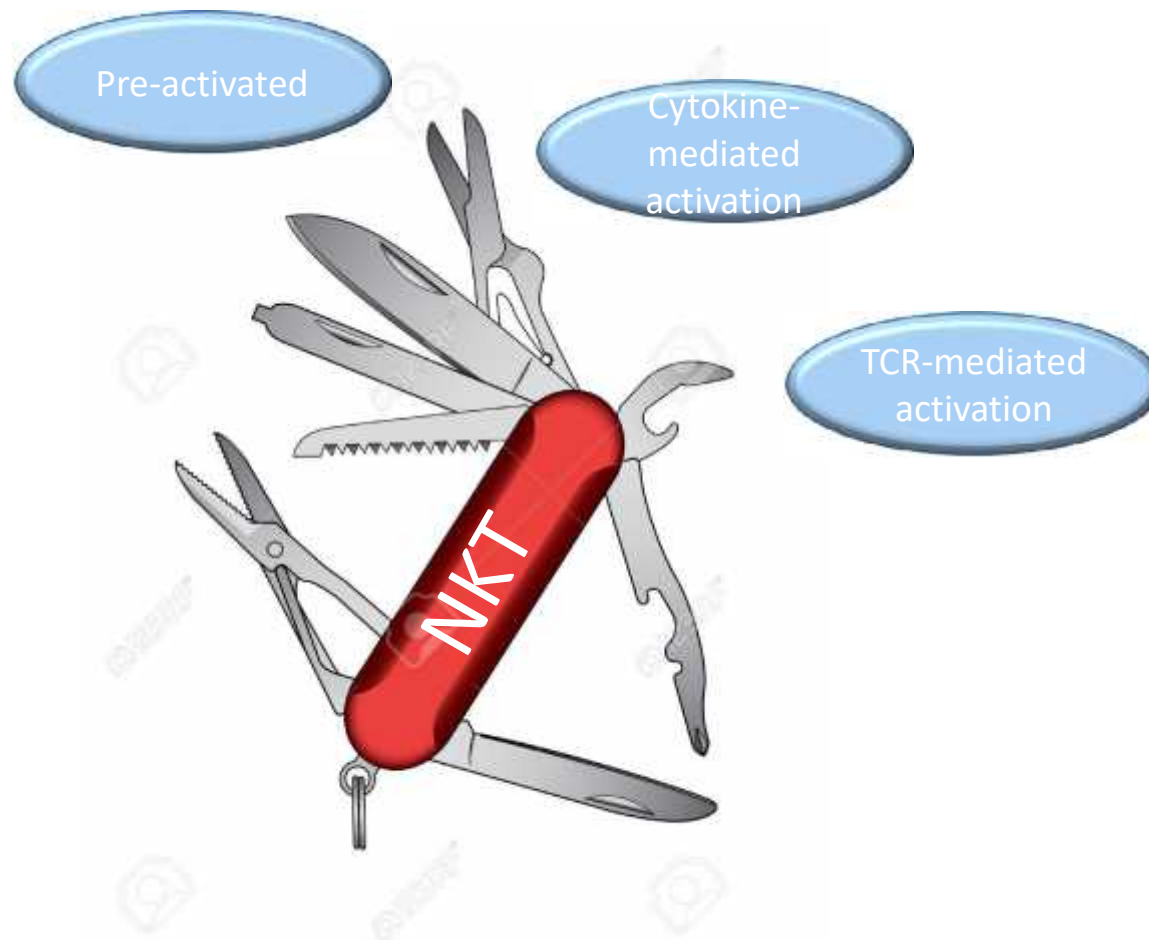


1.  $V\alpha 14$ - $J\alpha 18$  rearrangement instructs the NKT cell fate
  2. Selection happens on bystander DP cells, through CD1D
  3. SLAM-SLAM interactions are required
- This selection process is sufficient to promote the innate phenotype, through PLZF induction

# iNKT cells: Step-wise thymic development

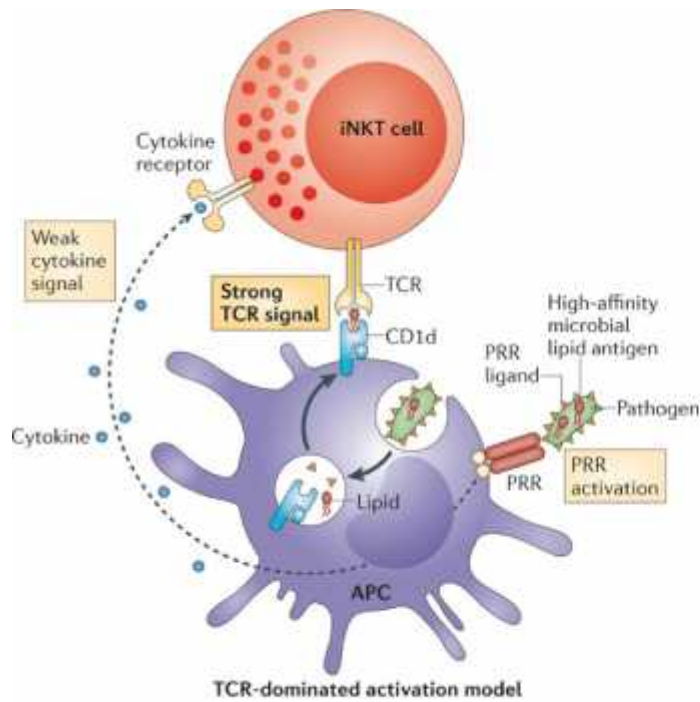


## NKT cells: Unique properties

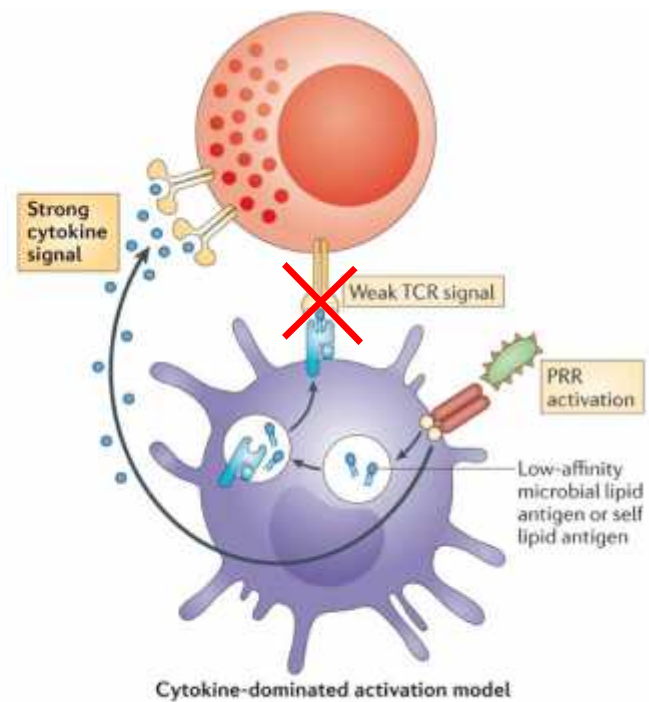


# NKT cells: Modes of activation

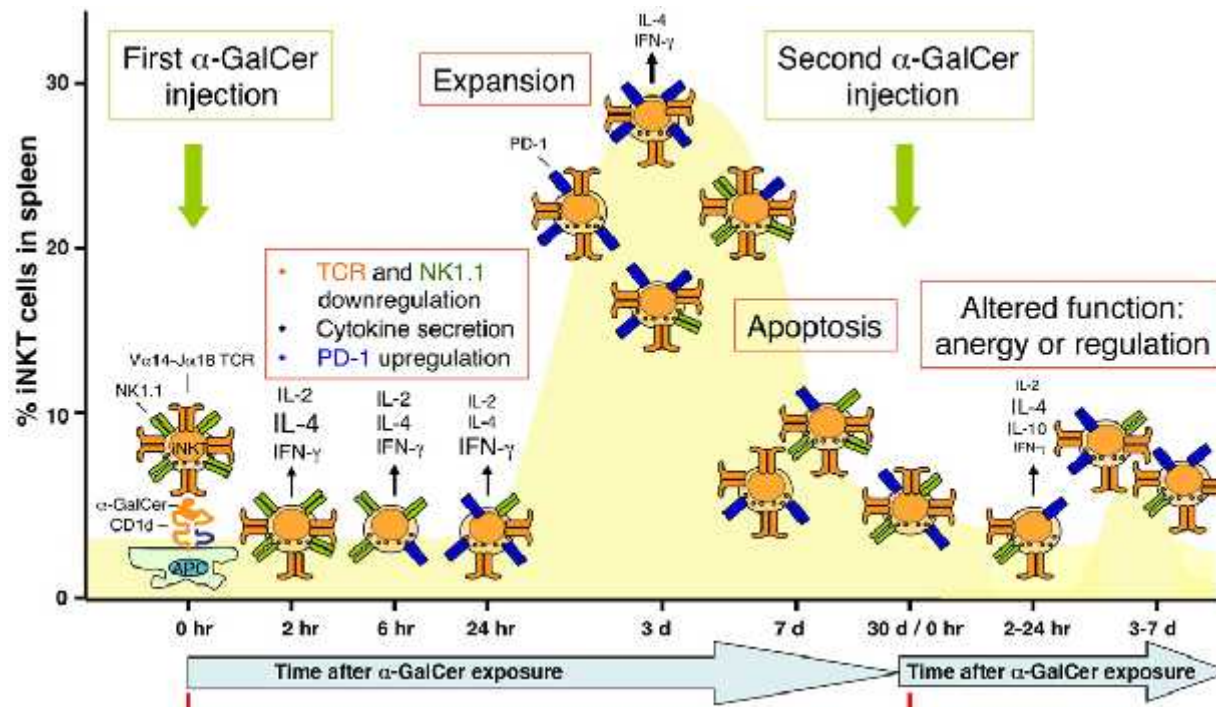
Recognition of **foreign** lipid antigens



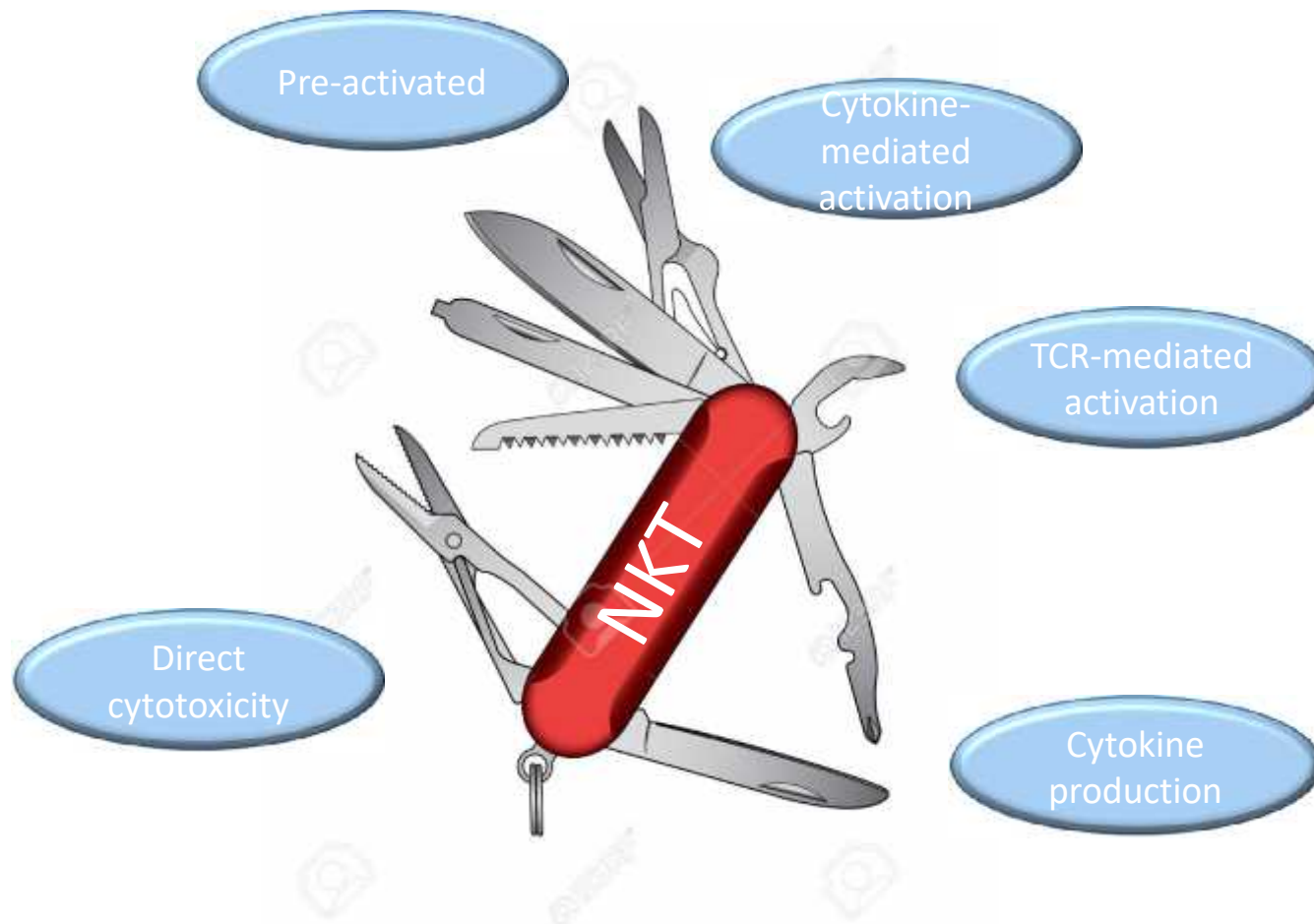
Recognition of **endogenous** lipid antigens



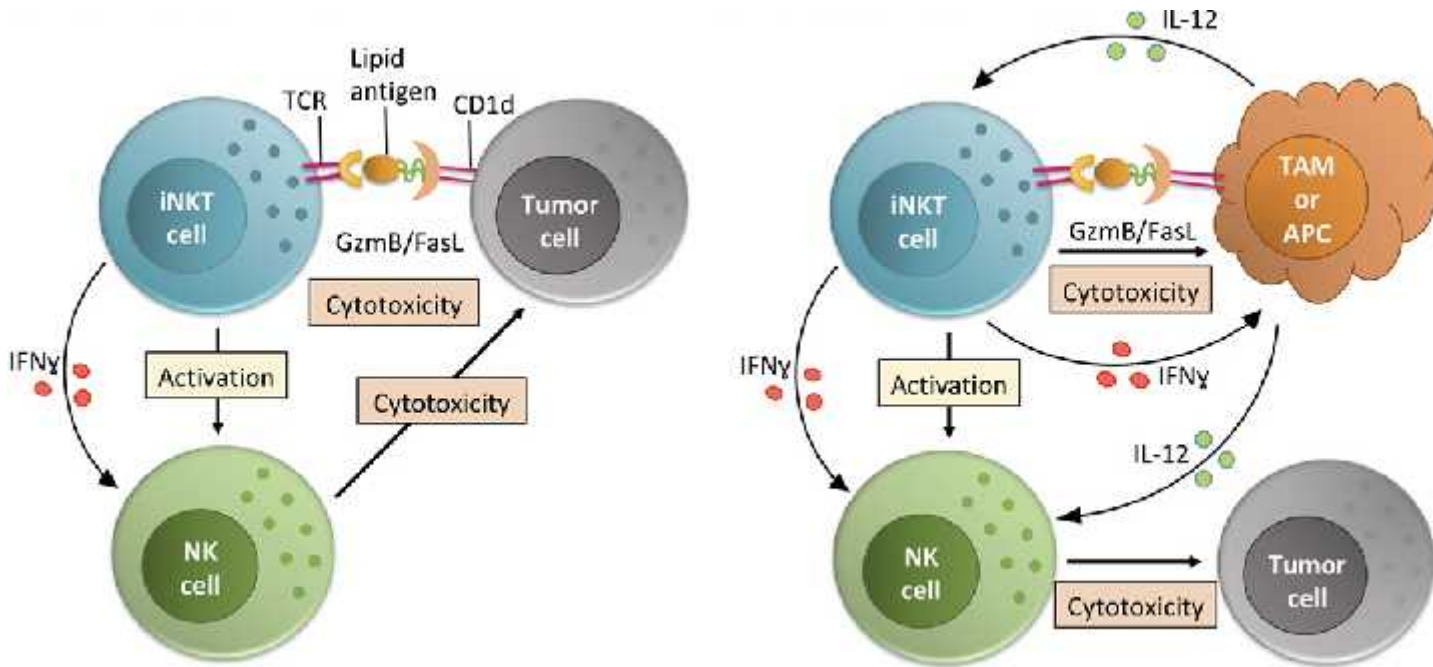
# NKT cells become anergic after initial activation



## NKT cells: Unique properties

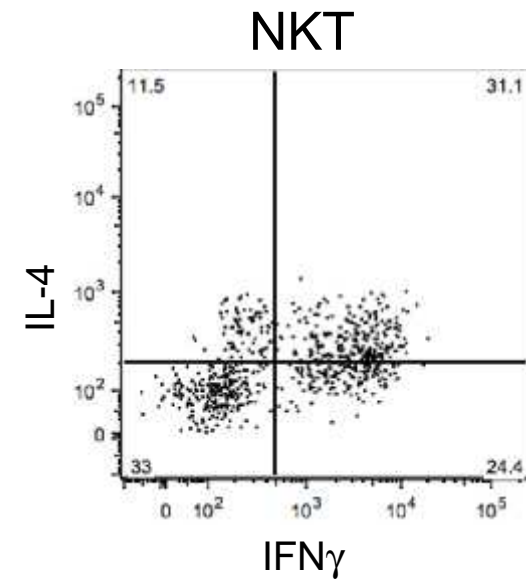
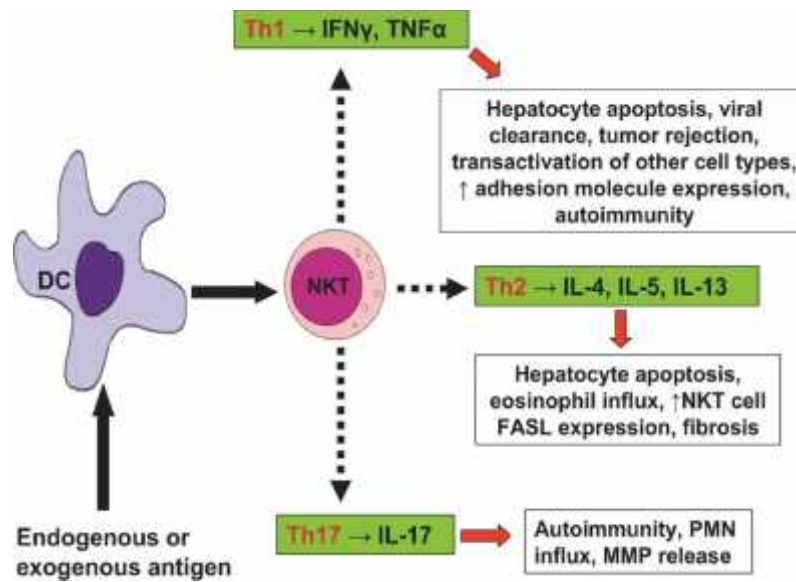


## Direct killing by NKT cells

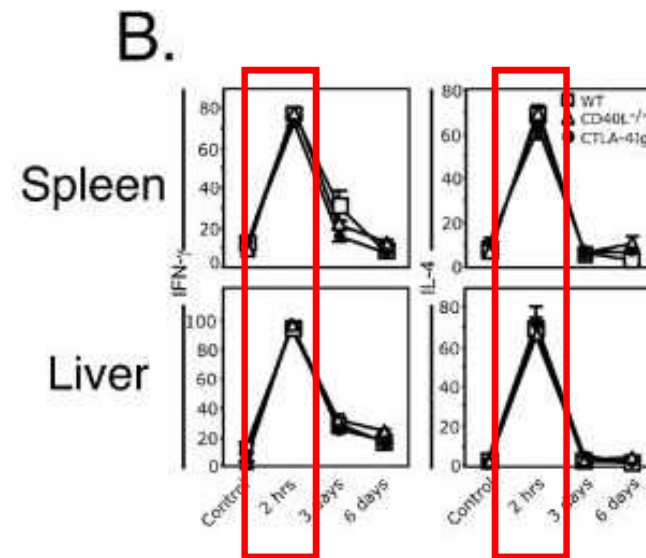
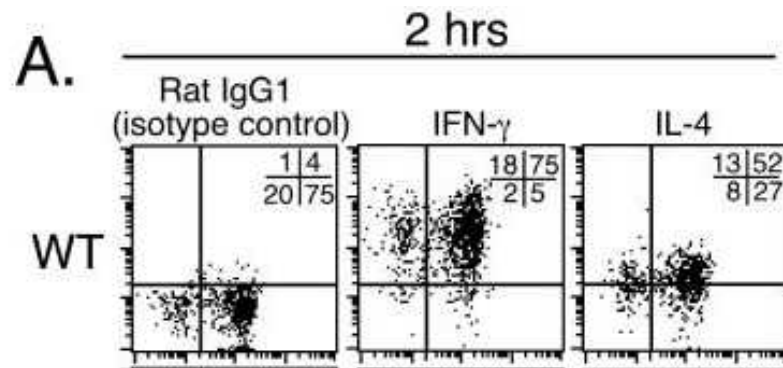




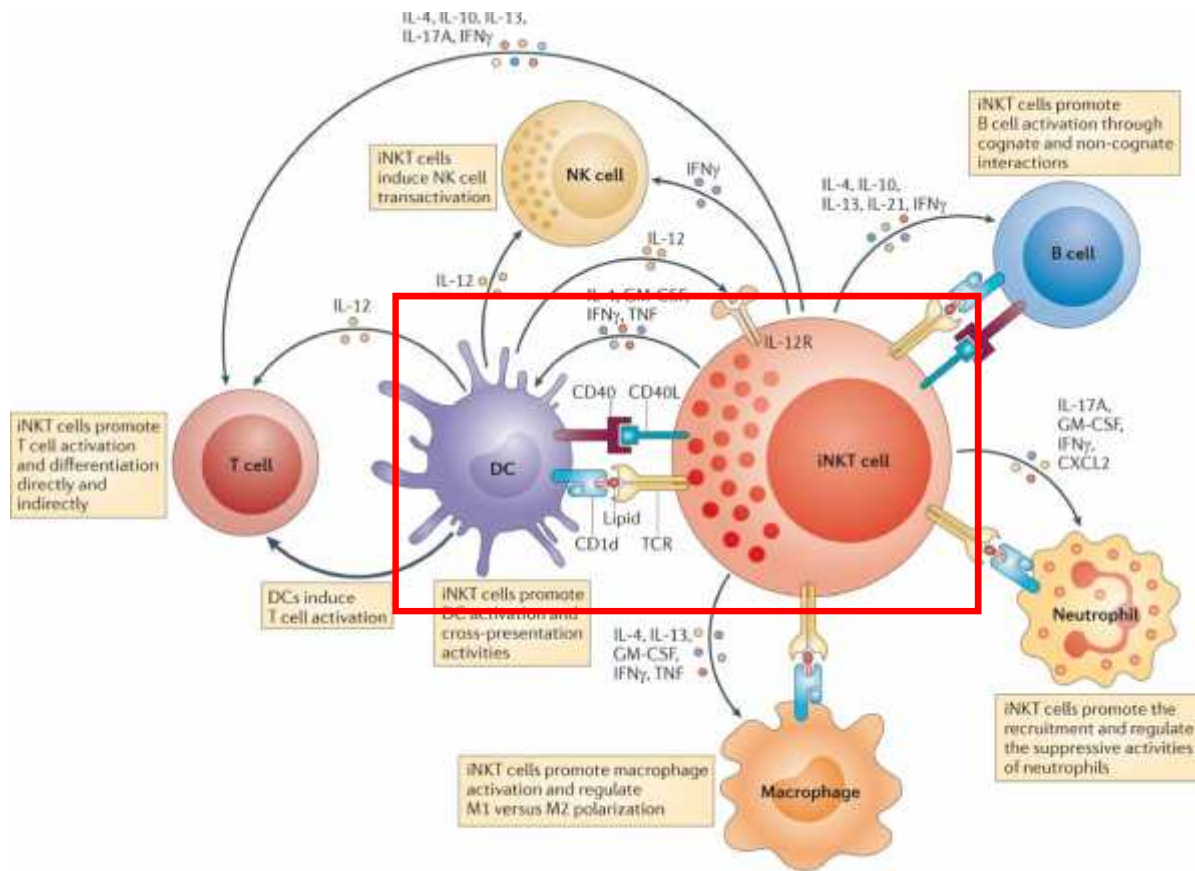
# NKT cells produce a vast array of cytokines



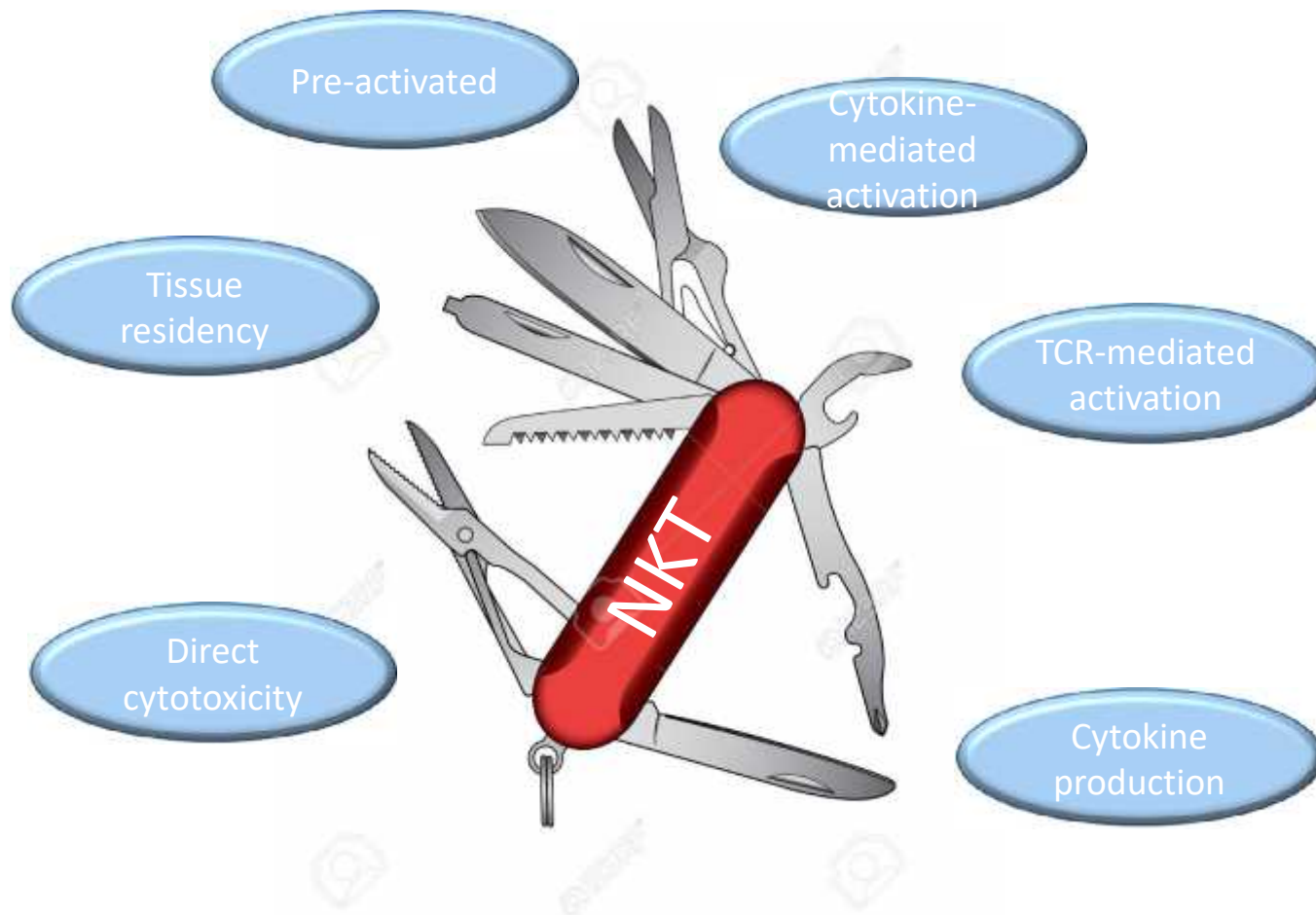
# NKT cells produce a vast array of cytokines (fast)



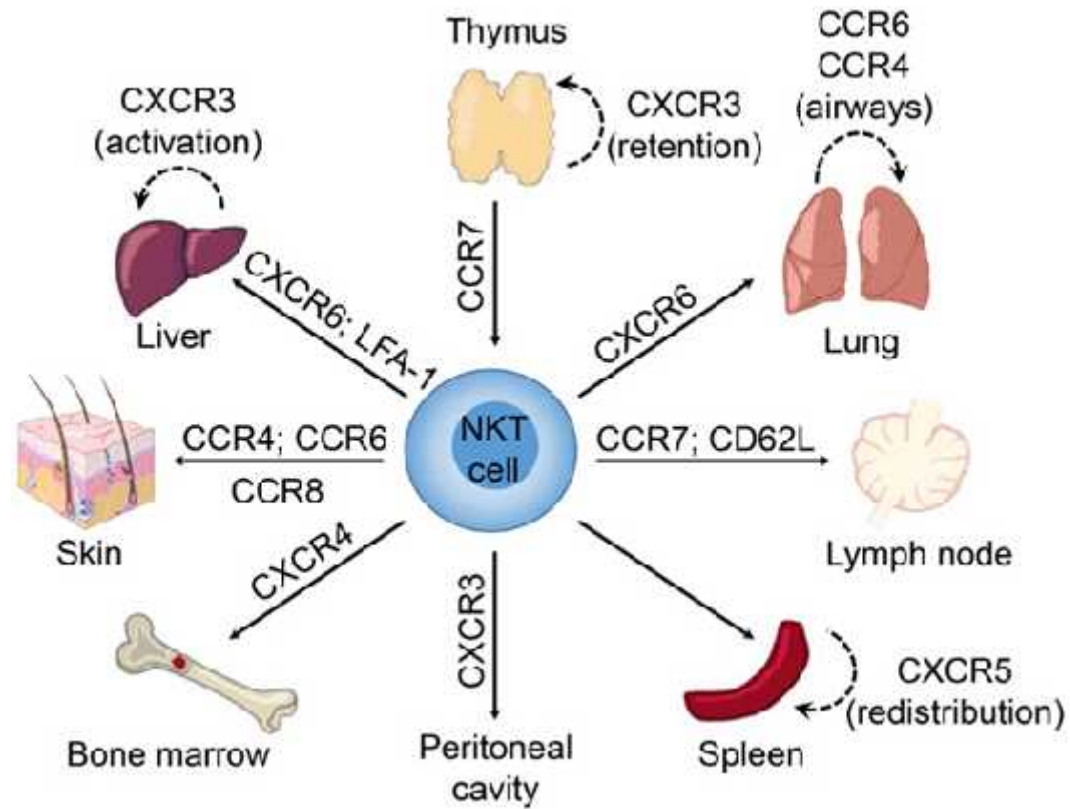
# NKT cells: Interactions with immune cells



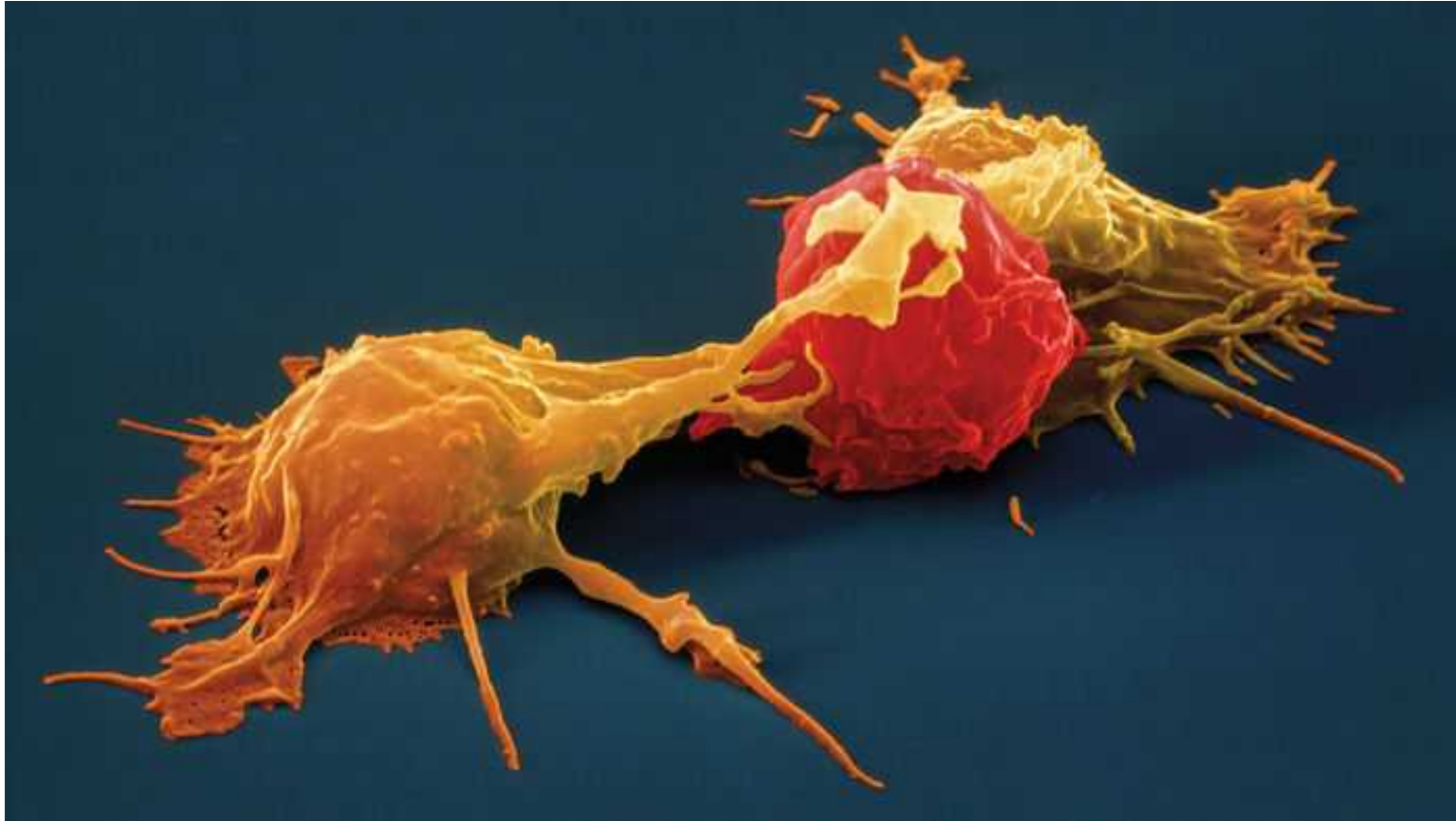
## NKT cells: Unique properties



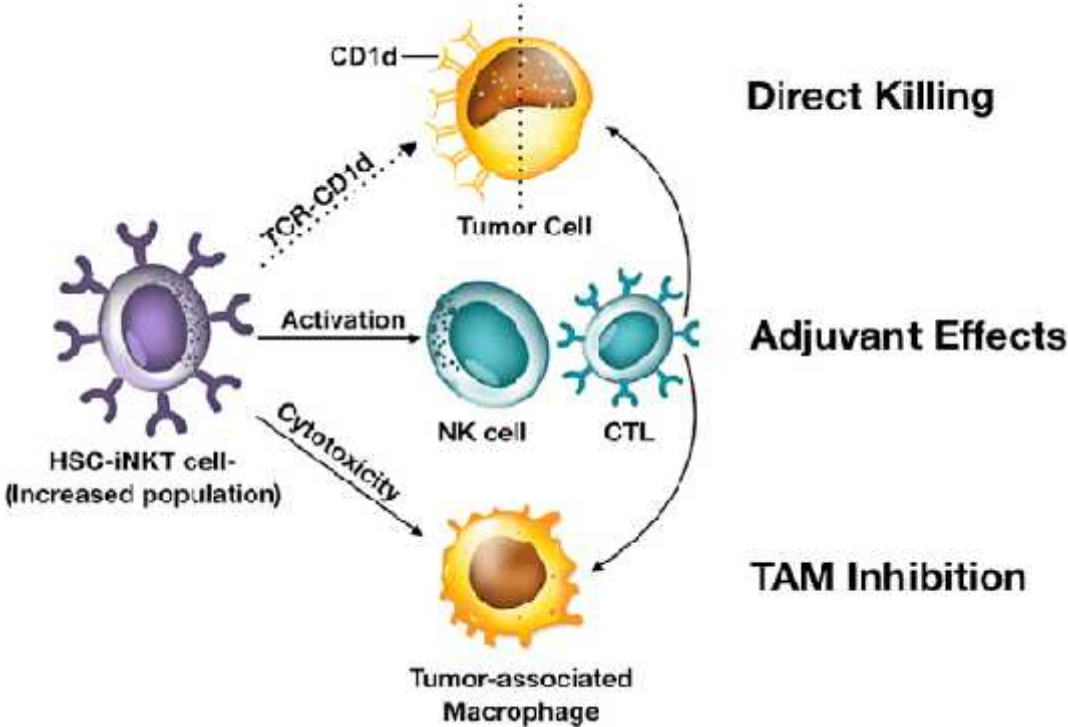
# NKT cells are tissue-resident lymphocytes



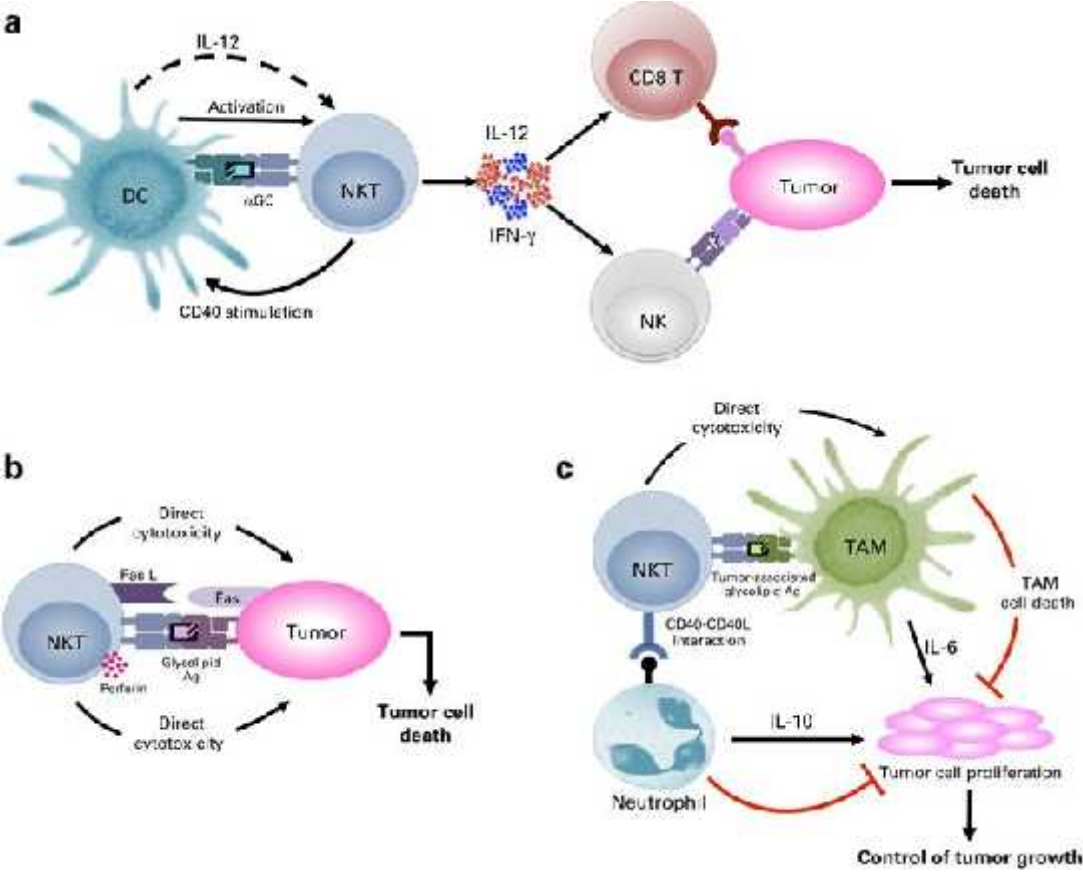
## How NKT cells may fight tumors



# How NKT cells may fight tumors



# How NKT cells may fight tumors



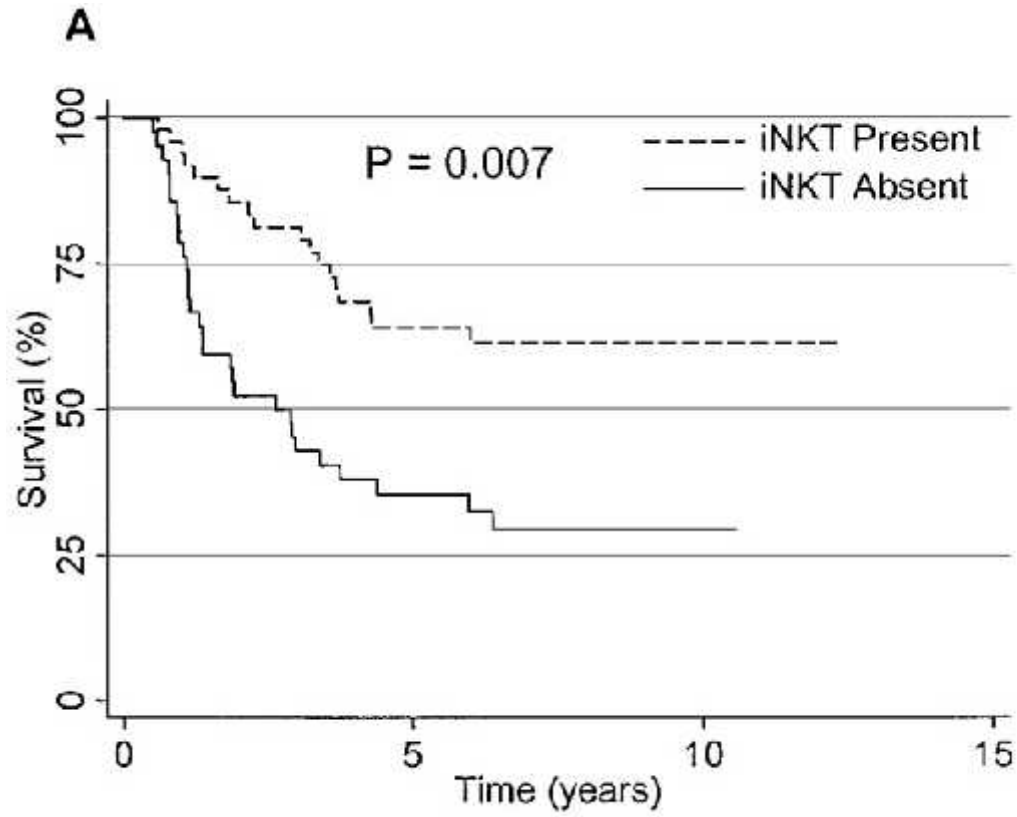


## Why NKT cells?

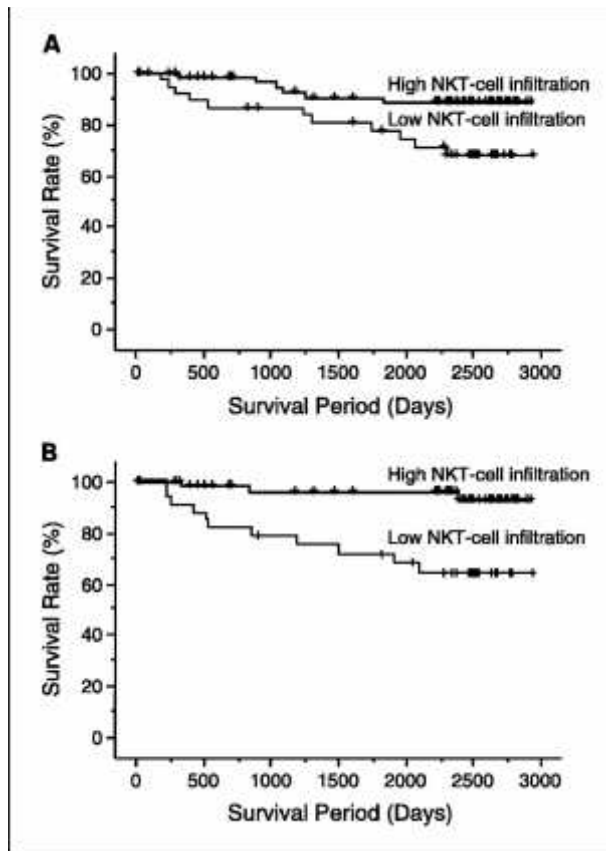
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- Better outcomes in patients with high NKT tumor infiltrates

## NKT cells as prognostic factors in cancer



**Intratumor NKT cells as a prognostic factor in colorectal carcinoma patients.**



Tsuyoshi Tachibana et al. Clin Cancer Res 2005;11:7322-7327

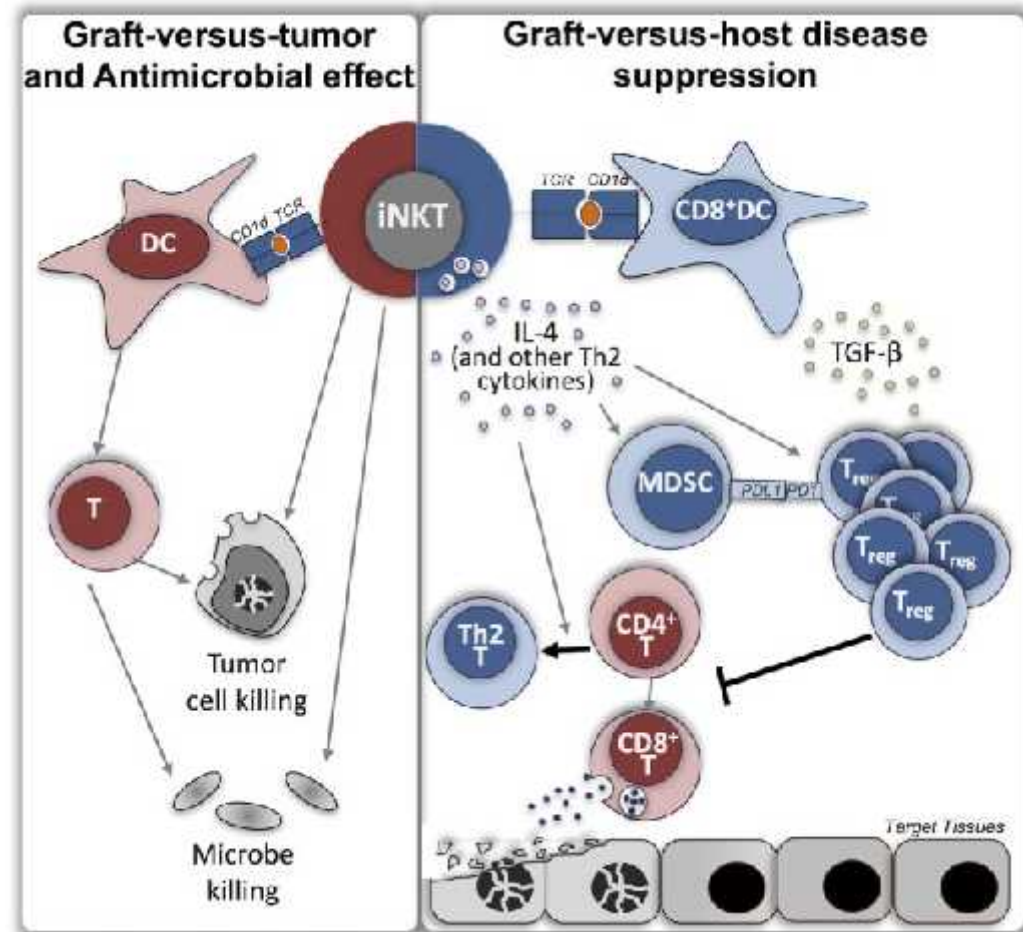
## Why NKT cells?

---

- Better outcomes in patients with high NKT tumor infiltrates
- Ameliorate GvHD

## NKT cells suppress GvHD

- Host NKT cells protect from GvHD
- Activation of NKT cells protects from GvHD
- Adoptive transfer of NKT cells protects from GvHD



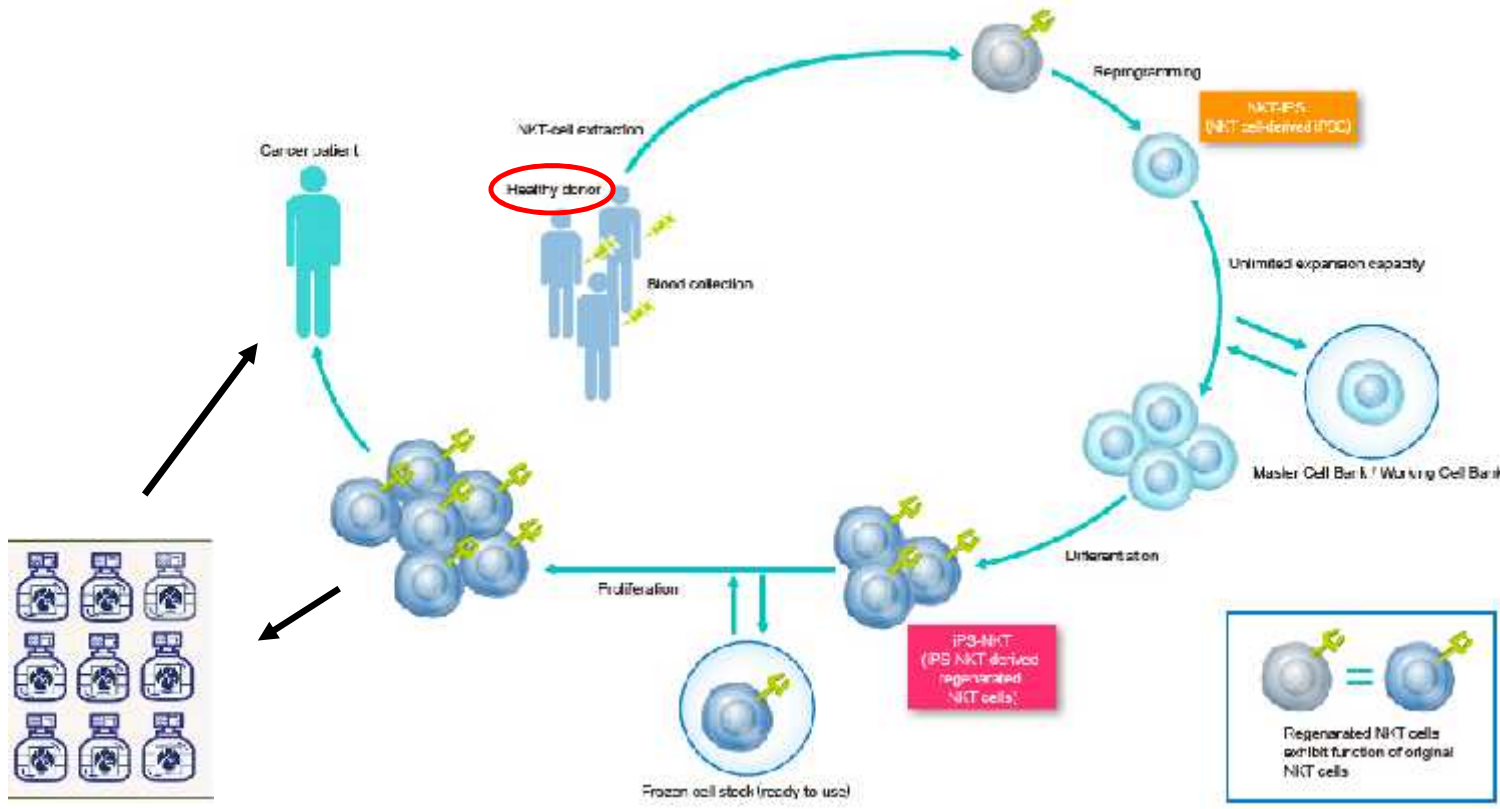
## Why NKT cells?

---

- Better outcomes in patients with high NKT tumor infiltrates
  - Ameliorate GvHD
  - Restricted by non-polymorphic CD1d molecules
  - Easily expandable
- } off-the-shelf therapy

# NKT cells are easily expandable *in vitro*

## iPSC-derived regenerated NKT cell therapy (off-the-shelf)



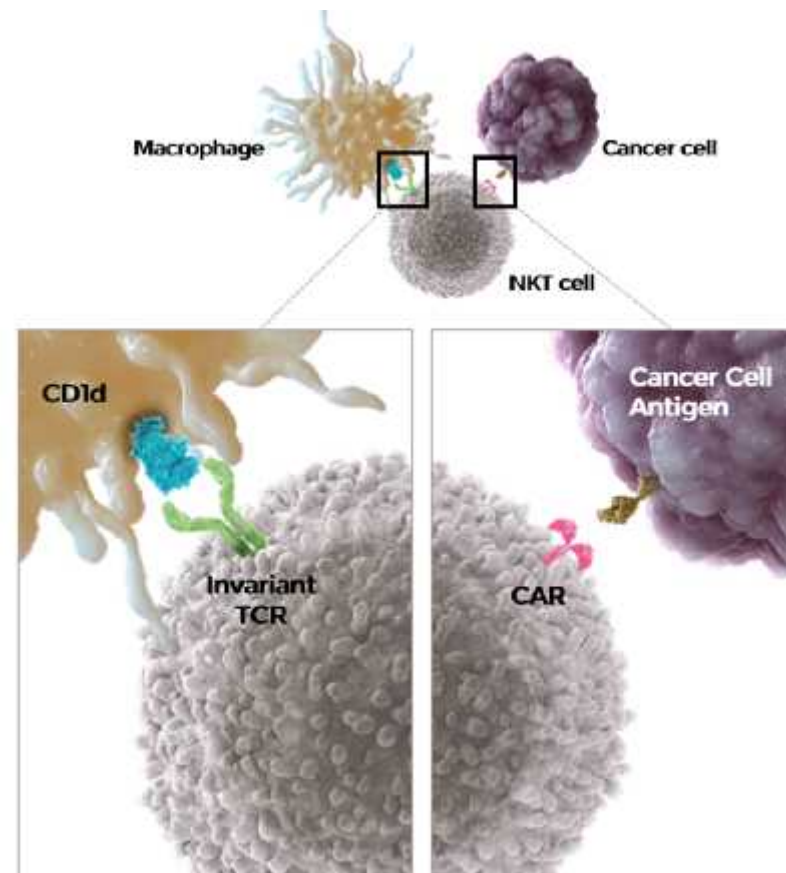
## Why NKT cells?

---

- Better outcomes in patients with high NKT tumor infiltrates
  - Ameliorate GvHD
  - Restricted by non-polymorphic CD1d molecules
  - Easily expandable
  - Dual targeting (both CAR NKT antigen and CD1d-restricted antigen)
- } off-the-shelf therapy



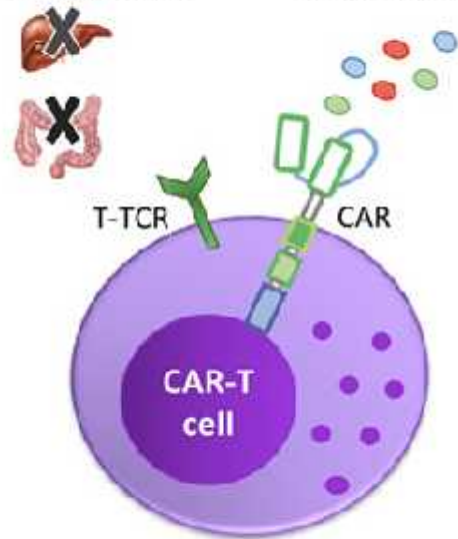
## Dual antigen targeting of CAR-NKT cells



CAR-T and rTCR-T therapies

Endogenous T-TCR  
No function or GvHD

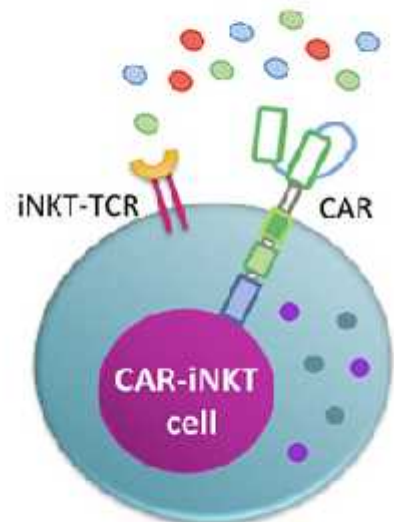
Chimeric antigen receptor  
mediates killing



Potential GvHD + anti-tumor activity  
(allogeneic setting)

CAR-iNKT and rTCR-iNKT therapies

iNKT-TCR & Chimeric antigen receptor  
Both receptors mediate killing



Enhanced activity with  
exogenous antigen receptor

## NKT cell-mediated therapies

---

### **NKT cell activation**

- Direct aGalCer activation
- Ligand-loaded APCs
- Ligand and cytokine activation

### **NKT cell adoptive transfer**

- NKT cell expansion
- NKT cell differentiation from HSCs, or iPSCs

### **CAR-NKT cell generation**

- CAR-GD2 (neuroblastoma)
- CAR-CD19 (B-cell lymphoma)

| Treatment   | Injection site, number of injections/cycles  | Tumor type                            | Number of patients | Safety                  | Clinical outcomes   | Immunological response   | Reference |
|---|--|---------------------------------------|--------------------|-------------------------|---|--|-----------|
| <b>Direct <math>\alpha</math>-GalCer injection</b>  |  |                                       |                    |                         |   |  |           |
| $\alpha$ -GalCer  | 1x, 10-4,000 ng IV, 3 days 2 weekly cycle  | Solid tumors                          | 24                 | No adverse toxicity     | 1. T2H positive (stage disease)<br>2. No clinical response  | 1. Transient increase in type 1 NKT and natural killer (NK) cells from circulation<br>2. Increased serum cytokine levels of IFN- $\gamma$ and GM-CSF in 2/24 patients<br>3. Cytotoxicity in T2H patients<br>4. The effect was dependent on pre-treatment type 1 NKT cell numbers | [108]     |
| <b>In vivo-generated dendritic cell (DC) pulsed with <math>\alpha</math>-GalCer</b>                       |  |                                       |                    |                         |   |  |           |
| $\alpha$ -GalCer pulsed CD11b-expressing immature monocyte-derived DCs (preDCs)                           | 1x, 2 doses over 2 week cycle  | Metastatic melanoma                   | 17                 | No adverse toxicity     | 1. 2/13 patients had no response<br>2. 1 patient developed extensive necrosis of tumor with long-term response<br>3. 2 patients with hepatic metastases had NK/CD11b+ cells hepatocellular necrosis<br>4. Clinically observed treatment-specific inflammatory response in | 1. NKT cell T cell activation<br>2. Increase in NK cell numbers, activation and enhanced cytotoxicity<br>3. Increased IFN- $\gamma$ (12/13) and IL-12 (8/8) levels in serum  | [114]     |
| $\alpha$ -GalCer pulsed IL-2/ GM-CSF cultured PBMCs   | 1x, 8 doses, 2 x 10 <sup>7</sup> cells (week 1)<br>3 patients, 2.5 x 10 <sup>7</sup> cells (week 2)<br>3 patients, 3 x 10 <sup>7</sup> cells (week 3)<br>3 patients                      | Non-small cell lung cancer            | 11                 | No adverse toxicity     | 1. Stable disease in 3 patients   | 1. Expansion of type 1 NKT cells in 3/11 patients<br>2. Elevated IFN- $\gamma$ release in 7/11 patients  | [115]     |
| $\alpha$ -GalCer pulsed immature mDCs   | 1x, 4 injections of 1 x 10 <sup>7</sup> cells  | Non-small cell lung cancer            | 17                 | No adverse toxicity     | 1. Stable disease in 5 patients<br>2. 1 patient had tumor regression  | 1. Expansion of type 1 NKT cells in 10/17 patients<br>2. Expansion of IFN- $\gamma$ -producing cells by IL-2/IFN- $\gamma$   | [117]     |
| $\alpha$ -GalCer pulsed immature mDCs   | 4 treatments total with 1, 2 treatments, and intratumoral (IT) of treatments, doses ranging from 0.5 x 10 <sup>7</sup> , 5 x 10 <sup>7</sup> , and 2.5 x 10 <sup>8</sup> cells           | Metastatic solid tumor                | 19                 | Safe and well tolerated | 1. Stable disease in 6/19 patients<br>2. 1 patient had tumor regression<br>3. 1/19 had transient therapy-related tumor inflammation   | Cost of 5 x 10 <sup>7</sup> cells in mice gave the most pronounced result of NKT activation resulting in increased circulating type 1 NKT cells levels with NK-like FcR1 activation and increased serum IFN- $\gamma$ levels   | [118]     |
| $\alpha$ -GalCer pulsed IL-2/ GM-CSF cultured PBMCs   | 1x, 1 injection  | Non-small cell lung cancer            | 4                  | No adverse toxicity     | 1. Stable disease   | 1. Increased mobilization of type 1 NKT cells into primary site of the lung cancer<br>2. Augmented IFN- $\gamma$ -producing ability of tumor-infiltrating type 1 NKT cells   | [119]     |
| $\alpha$ -GalCer pulsed antigen-presenting cell (APC)   | Phase III phase I injections, 2 treatments with 1 week interval  | Head and neck squamous cell carcinoma | 8                  | Safe and well tolerated | 1. Stable disease in 3 patients<br>2. 1 patient had tumor regression  | Increase in circulating type 1 NKT numbers (4/8)<br>Expansion of $\alpha$ -GalCer-reactive IFN- $\gamma$ -producing cells in PBMCs (5/8)   | [120]     |
| $\alpha$ -GalCer pulsed mature mDCs   | 1x, 2 injections   | Advanced cancer                       | 5                  | Safe and well tolerated | 1. 1 patient had tumor regression<br>2. 1 patient had decreased M spike<br>3. 1 patient had tumor regression and more   | 1. $\alpha$ -10 <sup>7</sup> cell expansion of type 1 NKT cell subsets within type 10.5 months after vaccination<br>2. Type 1 NKT cell activation was associated with increased serum levels of IL-12p70, IFN-10, and GM-17  | [121]     |
| <b>Adoptive transfer of autologous in vitro-expanded NKT cells</b>  |  |                                       |                    |                         |   |  |           |
| In vitro-expanded NKT cells with autologous $\alpha$ -GalCer-pulsed PBMCs                                 | 1x, 2 doses, 1 x 10 <sup>7</sup> cells (week 1)<br>3 patients, 2.5 x 10 <sup>7</sup> cells (week 2)  | Non-small cell lung cancer            | 8                  | No adverse effects      | 1. No tumor regression<br>2. Stable disease in 3/8 patients   | 1. Absolute number of circulating type 1 NKT cells increased in 2/3 cases reaching level 2.5-fold<br>2. IFN- $\gamma$ production augmented in all 3 cases reaching level 2.5-fold  | [122]     |
| In vitro-expanded NKT cells   | 1x, 2 infusions of 25 x 10 <sup>7</sup> cells/infusion spaced 2 weeks apart with pretreatment of GM-CSF before cycle 2 and 3 to enhance DC function                                      | Advanced melanoma                     | 8                  | No adverse effects      | 1. Patients' disease stable<br>2. Patients progressed (1/8). Median follow-up for 63 months   | 1. Type 1 NKT infiltration appeared to cause transient peak of circulating type 1 NKT cells that were enhanced by GM-CSF pretreatment<br>2. Increased number of infiltrated monocytes<br>3. Elevated IFN- $\gamma$ production (4/8)  | [123]     |
| <b>Combination therapies</b>  |  |                                       |                    |                         |   |  |           |
| In vitro-expanded NKT cells (intra-arterial) and autologous $\alpha$ -GalCer-pulsed PBMCs (sub-cutaneous) | 1 x 10 <sup>7</sup> $\alpha$ -GalCer treated APCs subcutaneous injections (1 injection) followed by in vitro activated type 1 NKT cells (1 injection) tumor feeding artery (1 injection) | Head and neck squamous cell carcinoma | 8                  | Some adverse events (1) | 1. Partial response (2/8)<br>2. Stable disease (4/8)<br>3. Progressive disease (1/8)  | 1. Increase in circulating type 1 NKT numbers (6/8)<br>2. Expansion of $\alpha$ -GalCer-reactive IFN- $\gamma$ -producing cells in PBMCs (7/8)   | [124]     |
| In vitro-expanded NKT cells (intra-arterial) and autologous $\alpha$ -GalCer-pulsed PBMCs (sub-cutaneous) | 1 x 10 <sup>7</sup> $\alpha$ -GalCer treated APCs subcutaneous injections (1 injection) followed by in vitro activated type 1 NKT cells (1 injection) tumor feeding artery (1 injection) | Head and neck squamous cell carcinoma | 11                 | No adverse effects      | 1. Stable disease (5/11)<br>2. Adverse effects (3/11)   | 1. Expansion of type 1 NKT in PBMC (7/11) and IL-12 circulating with partial response (5/11)<br>2. Elevated expansion of IFN- $\gamma$ -secreting cells in PBMCs (8/10) and in tumor tissue  | [125]     |
| $\alpha$ -GalCer pulsed mature mDCs + LEN   | 1x, LEN 100 to 1mg/kg, 20 days 10 cycles   | Multiple myeloma                      | 8                  | Safe and well tolerated | 1. 3 patients show reduction in anti-myeloma M spike and  | Activation of NKT, NK, monocyte, and dendritic cells   | [126]     |

# NKT-CAR clinical trials

## ClinicalTrials.gov Search Results 10/24/2019

| id# | Title  | Status             | Study Results        | Conditions   | Interventions  | Locations   |
|-----|--|--------------------|----------------------|--|--|---|
| 1   | <a href="#">GD2 Specific CAR and Interleukin 15 Expressing Autologous NKT Cells to Treat Children With Neuroblastoma</a> | Recruiting         | No Results Available | •Neuroblastoma   | •Genetic: C19AKIT Cells<br>•Drug: Cyclophosphamide<br>•Drug: Fludarabine       | •Texas Children's Hospital, Houston, Texas, United States   |
| 2   | <a href="#">CD19 CAR Allogeneic NKT for Patients With Relapsed or Refractory B-Cell Malignancies (ANCHOR)</a>            | Not yet recruiting | No Results Available | •Refractory B-Cell Non-Hodgkin Lymphomas<br>•Refractory B-Cell Small Lymphocytic Lymphoma<br>•Relapsed Adult ALL<br>•Hidradenoma<br>•Relapsed Non Hodgkin Lymphoma | •Genetic: CD19 CAR-aNKT cells<br>•Drug: Cyclophosphamide<br>•Drug: Fludarabine | •Houston Methodist Hospital, Houston, Texas, United States<br>•Texas Children's Hospital, Houston, Texas, United States |

## Advantages of CAR-NKT cell therapies

| <b>CAR-T cell therapy</b>                             | <b>CAR-NKT cell therapy</b>                                    |
|---|--|
| Long time required for manufacturing                  | Off-the-shelf therapies immediately available                  |
| Product-to-product variability due to patient factors | Large number of uniform doses manufactured from a single donor |
| Limited success in solid tumors                       | NKT cells naturally home to tissues                            |
| CAR-T cells cause GvHD                                | NKT cells modulate immunosuppressive cells                     |
| Uncertain persistence                                 | NKT cells do not mediate GvHD                                  |
|   | Fully functional TCR and no TCR gene editing required          |
|   | IL-15 prolongs persistence                                     |

## Summary

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- Multiple subsets of innate-like T cells
- Both rearranged (although invariant) and germline encoded receptors
- Poised/activated phenotype
- Ample production of various cytokines
- Dependent on non-polymorphic CD1D or MR1 molecules
- Lipid/metabolites recognition
- Distinct developmental pathway
  - DP-DP interactions
  - SLAM-SAP dependency
- PLZF expression
- Potentially better/complementary targets for immunotherapy

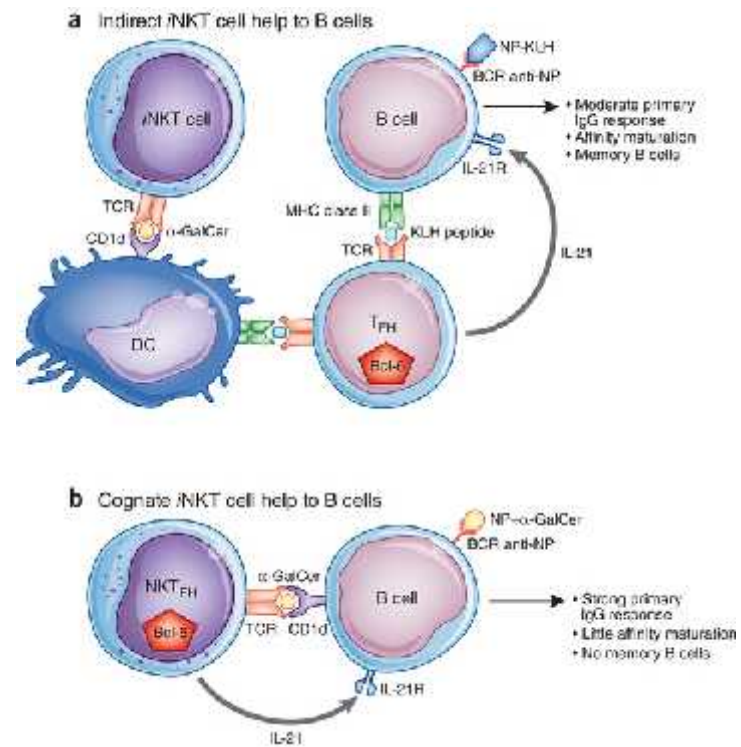
THANK YOU!

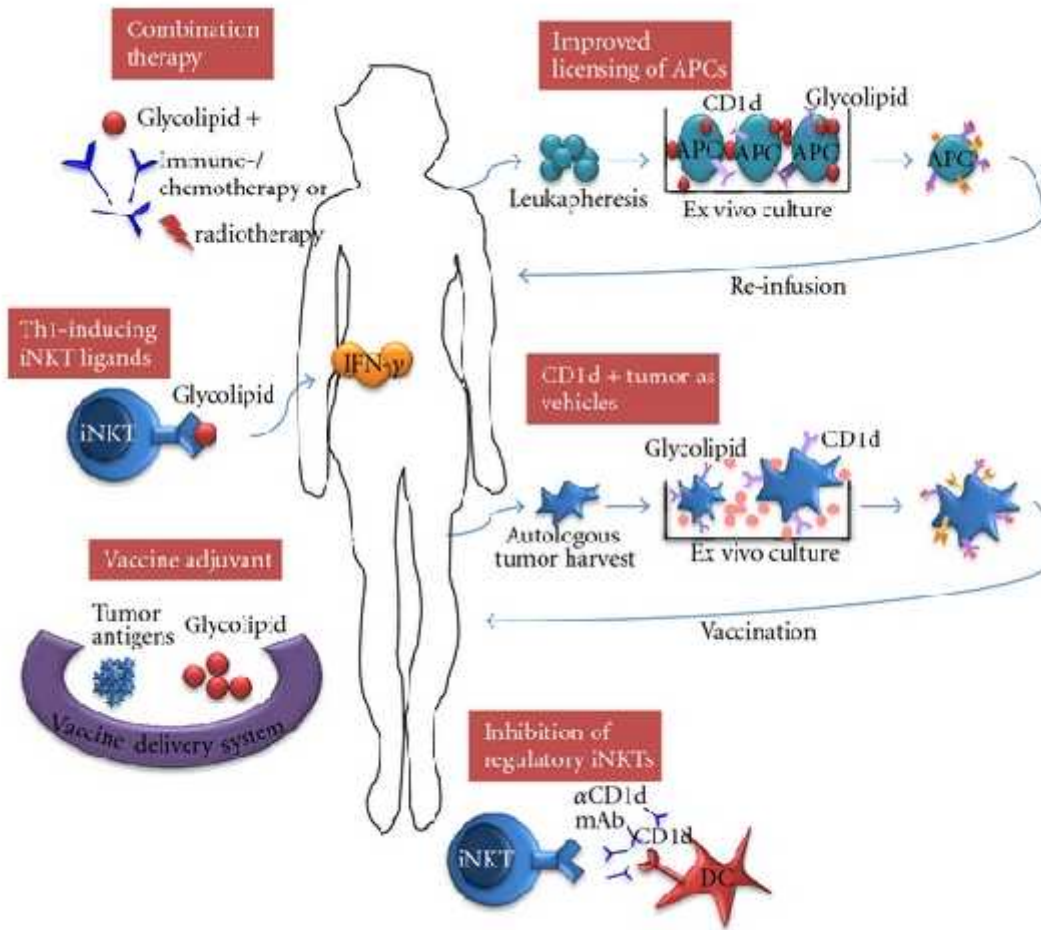






## NKT-B cell interactions: helping humoral immunity





## Why NKT cells?

---

- Better outcomes in patients with high NKT tumor infiltrates
- Ameliorate GvHD
- Restricted by non-polymorphic CD1d molecules (off-the-shelf therapy)
- Easily expandable
- Dual targeting (both CAR NKT antigen and CD1d-restricted antigen)
- Potent anti-tumor cytotoxic activity (aGalCer discovery)
- Very quick and diverse cytokine production: IL4, IFN $\gamma$ , IL17
- Pre-activation with lipid ligands
- Continuous expression of cytokine receptors

## NKT cells: Multiple functions

